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APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/818,800		03/27/2001	Ronald P. Sansone	E-985	9888	
919	7590	11/16/2006		EXAM	INER	
PITNEY BOWES INC.			and the same of th	BORISSOV, IGOR N		
35 WATERVIEW DRIVE						
P.O. BOX 3	000			ART UNIT	PAPER NUMBER	
MSC 26-22				3628		
SHELTON, CT 06484-8000				DATE MAILED: 11/16/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	
	. 09/818,800	SANSONE, RONALD P.	
Office Action Summary	Examiner	Art Unit	
	Igor Borissov	3628	
The MAILING DATE of this communication Period for Reply	n appears on the cover sheet w	ith the correspondence address	
A SHORTENED STATUTORY PERIOD FOR R WHICHEVER IS LONGER, FROM THE MAILIN  - Extensions of time may be available under the provisions of 37 Cl after SIX (6) MONTHS from the mailing date of this communication  - If NO period for reply is specified above, the maximum statutory provided to reply within the set or extended period for reply will, by Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	IG DATE OF THIS COMMUNI FR 1.136(a). In no event, however, may a on. period will apply and will expire SIX (6) MOt statute, cause the application to become Al	CATION. reply be timely filed NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).	
Status			
1)⊠ Responsive to communication(s) filed on 2a)□ This action is <b>FINAL</b> . 2b)⊠      3)□ Since this application is in condition for all closed in accordance with the practice units.	This action is non-final.  lowance except for formal mat	• •	
Disposition of Claims			
4) ⊠ Claim(s) <u>1-31</u> is/are pending in the application 4a) Of the above claim(s) is/are with 5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) <u>1-31</u> is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and applications.	hdrawn from consideration.		
Application Papers			
9) The specification is objected to by the Exa 10) The drawing(s) filed on is/are: a) Applicant may not request that any objection to Replacement drawing sheet(s) including the co 11) The oath or declaration is objected to by the	accepted or b) objected to o the drawing(s) be held in abeya orrection is required if the drawing	nce. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for for a) All b) Some * c) None of:  1. Certified copies of the priority docur 2. Certified copies of the priority docur 3. Copies of the certified copies of the application from the International But * See the attached detailed Office action for a second s	ments have been received. ments have been received in A priority documents have beer ureau (PCT Rule 17.2(a)).	Application No  received in this National Stage	
	·	46	
	•	IGOR N. BORISSOV PRIMARY EXAMINER	
Attachment(s)	·		
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-94) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	8) Paper No(	Summary (PTO-413) . s)/Mail Date informal Patent Application	

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## **DETAILED ACTION**

In view of the Appeal Brief filed on 01/20/2006 PROSECUTION IS HEREBY REOPENED, as set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

JOHN W. HAYES
SUPERVISORY PATENT EXAMINER

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## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-8, 14-16, 18, 20, 21, 24-26 and 28-30 are rejected under 35 U.S.C. 102(e) as being anticipated by Kuebert et al. (US 2002/0165729).

Claim 1. Kuebert et al. (Kuebert) teaches a method utilizing a computer system that enables a recipient to inform a carrier of the manner in which the recipient would like the mail delivered, comprising:

depositing with the carrier mail containing the recipient's name and physical address and the sender's name and address [0039];

placing a unique number on the mail (automatic identification tag) [0021]; [0023]; capturing by electronic and optical means the name, physical address of the recipient and the sender, and the unique number [0021] - [0023]; [0031]; [0032];

translating the name and physical address of the recipient into an e-mail address by checking a postal address database and the recipient's e-mail database [0022]; [0029];

notifying the recipient electronically by the carrier of the availability of the deposited mail by the unique number assigned to the mail [0036];

notifying the carrier electronically by the recipient of the manner in which the recipient would like the mail delivered [0043];

delivering mail to the recipient by the carrier in the manner specified by the recipient to the carrier [0048].

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Claim 2. Said method, wherein the unique number is placed on the mail by a postage meter before the mail is delivered to the carrier [0021].

Claim 3. Said method, wherein the unique number is placed on the mail by a postage meter while the mail is delivered to the carrier [0021].

Claim 4. Said method, wherein the unique number is placed on a carrier label before the mail is delivered to the carrier [0021].

Claim 5. Said method, wherein the unique number is placed on a carrier label (tag) while the mail is delivered to the carrier [0021].

Claim 6. Said method, wherein the unique number is preprinted (bar-code) on a carrier label [0021].

Claim 7. Said method, wherein the recipient notifies the carrier to deliver the mail to a specified name and address [0009]; [0043].

Claim 8. Said method, wherein the recipient notifies the carrier to return the mail to the sender [0009]; [0043]. Examiner broadly understands the phrase "specified name and address" as being any address, including the address of the sender.

Claim 14. Said method, wherein the recipient notifies the carrier to deliver the mail to the recipient at a different (specific) address [0009]; [0043].

Claim 15. Said method, wherein the recipient notifies the carrier to deliver the mail to the recipient by a slower delivery method than that paid for by the sender (the recipient notifies the carrier to hold the mail for a specified period of time) [0043].

Claim 16. Said method, wherein the recipient notifies the carrier to deliver the mail to the recipient by a faster delivery method than that paid for by the sender (notifying the carrier by the recipient to <u>change the delivery time</u>) [0043]. Examiner broadly understands the phrase "<u>change the delivery time</u>" as choosing any possible date, including the date which is prior the date that paid for by the sender.

Claim 18. Said method, further including the step of:

charging the recipient for delivering mail to the recipient in the manner specified by the recipient to the carrier

Claim 20. Said method, further including the step of:

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informing the sender of the delivery of the mail [0042].

Claim 21. Said method, wherein the recipient notifies the carrier to hold the mail for a specified period of time [0043].

Claim 24. Said method, wherein the recipient is notified via e-mail of the availability of the deposited mail [0036].

Claim 25. Said method, wherein the recipient is notified via telephone of the availability of the deposited mail [0036].

Claim 26. Said method, wherein the recipient is notified via facsimile of the availability of the deposited mail [0036].

Claim 28. Said method, wherein the carrier is notified via e-mail of the manner in which the recipient would like the mail delivered [0036].

Claim 29. Said method, wherein the carrier is notified via facsimile of the manner in which the recipient would like the mail delivered [0036].

Claim 30. Said method, wherein the carrier is notified via telephone of the manner in which the recipient would like the mail delivered [0036].

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 9-13, 18 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuebert et al. in view of Srinivasan (US 6,072,862).

Claim 9. Kuebert teaches all the limitations of claim 9, except specifically teaching that the recipient notifies the carrier to open the mail.

Srinivasan teaches a method and system for adaptable message delivery, wherein the recipient can select the preferred way of getting the mail; said method

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includes informing the carrier to e-mail or send by facsimile the <u>contents</u> of the mail piece to one or more specified e-mail addresses (column 2, lines 37-58; column 3, lines 4 – column 4, line 7), thereby indicating instructing the carrier to open the mail for obtaining the <u>contents</u>. The motivation to combine Kuebert et al. and Srinivasan would be to advantageously allow the recipient to access all his messages via one message accessing means regardless the form said messages were sent to, as specifically stated in Srinivasan (column 4, lines 56-65).

Claims 10-13. See reasoning applied to claim 9.

Claim 18. Srinivasan teaches said method, wherein the term "subscriber" suggests charging the recipient for services rendered (delivering mail to the recipient) (column 2, lines 37-58). The motivation to combine the references would be allow to generate funds for business to operate.

Claim 31. Srinivasan teaches said method, wherein the recipient notifies a data center who notifies the carrier of the manner in which the recipient would like the mail delivered (column 2, lines 37-58; column 3, lines 4 – column 4, line 7). The motivation to combine Kuebert et al. and Lynt et al. with Srinivasan would be to advantageously allow the recipient to manage the delivery of all his messages via one message accessing means regardless the form said messages were sent to.

Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kuebert et al. in view of Sherwood et al. (US 6,542,584).

Claim 17. Kuebert teaches all the limitations of claim 17, except: charging the recipient for receiving notification of the availability of the deposited mail.

Sherwood et al. teaches a method and system for automatic voice mail redirection, wherein a recipient is charged a fee for receiving a notification that a voice mail message is left for the recipient (column 1, lines 10-24).

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kuebert et al. to include charging the recipient for receiving notification of the availability of the deposited mail, as disclosed in Sherwood, because it would generate funds for a business to operate.

Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kuebert et al. in view of Sherwood et al. and further in view of Srinivasan.

Claim 19. Kuebert teaches all the limitations of claim 19, except:

charging the recipient for receiving notification of the availability of the deposited mail; and

charging the recipient for delivering mail to the recipient in the manner specified by the recipient to the carrier.

Sherwood et al. teaches said method and system for automatic voice mail redirection, wherein a recipient is charged a fee for receiving a notification that a voice mail message is left for the recipient (column 1, lines 10-24).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kuebert et al. to include charging the recipient for receiving notification of the availability of the deposited mail, as disclosed in Sherwood, because it would generate funds for a business to operate.

Srinivasan teaches said method, wherein the term "subscriber" suggests charging the recipient for services rendered (delivering mail to the recipient) (column 2, lines 37-58).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kuebert et al. and Sherwood et al. to include charging the recipient for delivering mail to the recipient in the manner specified by the recipient to the carrier, as suggested in Srinivasan, because it would advantageously allow to generate funds for business to operate.

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Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kuebert et al. in view of McKeen, Jr. (US 4,037,956).

Claim 22. Kuebert et al. teaches all the limitations of claim 22, except that the recipient notifies the carrier to destroy the mail.

McKeen, Jr. teaches a method and apparatus for verified mail system, wherein the verified content of the recipient mail is destroyed if the recipient does not want to keep it stored (column 2, lines 28-35).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kuebert et al. to include that the recipient notifies the carrier to destroy the mail as disclosed in McKeen, Jr., because it would advantageously decrease the expenses for handling mail for the post office.

Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kuebert et al. in view of Gordon et al. (US 6,289,323).

Claim 23. Kuebert et al. teaches all the limitations of claim 23, except that the recipient notifies the carrier to recycle the material comprising the mail.

Gordon et al. teach a method and system for a mail delivery including sending the contents of the mail piece to one or more specified e-mail addresses, wherein the mail piece (a postcard) is recycled (column 15, lines 63-65).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kuebert et al. to include that the recipient notifies the carrier to recycle the material comprising the mail as disclosed in Gordon, because it would advantageously decrease the expenses for handling mail for the post office, and allow to save natural resources.

Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kuebert et al. in view of Busch et al. (US 6,390,921).

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Claim 27. Kuebert et al. teaches all the limitations of claim 27, except that the recipient is notified via television of the availability of the deposited mail.

Busch et al. teach a method and system for sharing information in a network environment, wherein a user can receive a message via e-mail, or Web-TV, or telephone, or regular mail delivery (column 4, lines 38-44).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kuebert et al. to include that the recipient is notified via television as disclosed in Busch et al., because it would advantageously allow to combine the notification service with other TV or WEB related programs, thereby make it more attractive to the customers.

### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure (see form PTO-892).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Igor Borissov whose telephone number is 571-272-6801. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Hayes can be reached on 571-272-6708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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> IGOR N. BORISSOV PRIMARY EXAMINER